**REQUEST FOR PROPOSALS**

**EVALUATION OF WASHINGTON STATE PATROL’S CESSNA AIRCRAFT FLEET**



1. **BACKGROUND**

The Washington State Legislature directed the Joint Transportation Committee (JTC) to conduct a comprehensive evaluation of the Washington State Patrol’s (WSP) fleet of Cessna aircraft.

WSP’s aviation section provides aerial traffic enforcement, traffic congestion management, natural disaster response, homeland security, and other aerial law enforcement and public safety services. It operates five Cessna aircraft to accomplish this mission: three Cessna C182s and two Cessna C206s. The Cessna’s were purchased between 1999 and 2001. Flight hours per plane range from 8,050 to 10,505 as of November 11, 2021.

The Washington State Patrol asked the 2022 Legislature to fund replacement of all five Cessnas over the next four years. This prompted the Legislative request for evaluation of the duties of the aviation section to determine whether there were other options for modernization of the fleet.

The JTC is requesting proposals from consultants interested in conducting the evaluation, to include but not be limited to:

* Assessing the current use and performance of the aircraft;
* Timing of needed replacement of the aircraft;
* Evaluating the feasibility and costs of using aircraft powered by alternative fuel;
* Reviewing other potential technologies, including unmanned aerial vehicles, to achieve some or all of the aviation section's mission.

A final report must be submitted to the JTC by December 1, 2022.

**State of Washington**

Joint Transportation Committee

PO Box 40937

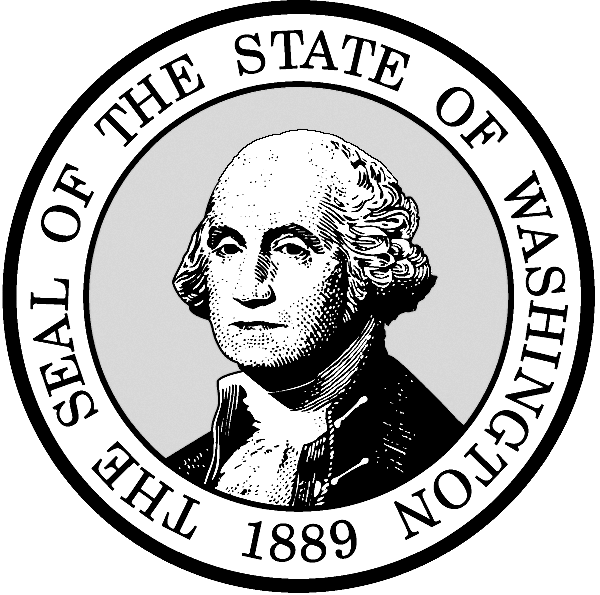
606 Columbia St NW Suite 105

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Phone: (360) 786-7313

**Request for Proposals**

### April 19, 2022



**Representatives**

Jake Fey

Co-Chair

Andrew Barkis

Ranking Member

Senators

Marko Liias

1st Co-Chair

Curtis King

Ranking Member

1. **SCOPE OF WORK**

Purpose: The purpose of the study is to evaluate WSP’s request for replacement of its Cessna fleet and provide recommendations regarding:

* The need for replacement;
* Timing of replacement; and
* Alternative replacement strategies including alternative fuels or other types of aircraft.

The full text of the legislative [proviso](#_VII._PROVISO) requiring this study is included below.

Staff Workgroup. The JTC will form a Staff Workgroup to provide support during the study. The Staff Workgroup will include staff from the JTC, House and Senate Transportation Committees, WSP, and the Office of Financial Management. The Consultant should expect to work with the Staff Workgroup throughout the study, to solicit input, present draft materials and draft reports.

Successful completion of the study requires a consultant with a strong background in general aircraft use and capabilities. Knowledge of law enforcement aircraft use would be of additional value.

**III. tasks to be completEd in study**

The description of tasks below is not intended to fully encompass the study tasks, but instead includes the minimum requirements, in order to provide the Consultant with a framework for the study. Consultants are encouraged to recommend additions and/or alternatives to better accomplish the study’s tasks.

**Task 1: EVALUATION OF CURRENT USE OF THE AIRCRAFT AND STRATEGIC management OF FLEET INCLUDING TIMING OF REPLACEMENTS**

Task 1 will include an assessment of the current use and performance of the aircraft, including performance measures used to manage the program. The evaluation of WSP’s current Cessna will include discussion of potential efficiencies, alternative approaches to accomplishing the Aviation Section’s mission, and recommended timing of aircraft replacement.

Deliverable: Brief white paper for incorporation into final report. The white paper should include descriptive photos and graphics comparing the aircraft as well as easy-to-read tables identifying the way things are done now and how they would operate in the future (if the consultant proposes any revisions/changes to the current approach).

**Task 2: evaluating potential for purchasing alternative fuel or electric aircraft**

Using the knowledge of the Aviation Division’s mission, activities, and equipment obtained in task 1, the consultant will evaluate the potential for using alternative fuel aircraft to replace the current aircraft. This will require research into the current state of alternative fuel general aircraft and projected improvements in those aircraft, if any.

Deliverable: Draft chapter for incorporation into final report.

**Task 3: review of innovative technologies**

The Legislature’s direction to the JTC includes an evaluation of innovative technologies, including but not limited to unmanned aerial vehicles, for accomplishing some or all of the Aviation Division’s mission. The analysis provided should be outcome focused for a non-technical audience.

Deliverable: Draft chapter for incorporation into final report.

**Task 4: Work with Staff Workgroup**

The JTC will form a Staff Workgroup to provide support during the study, comprised of staff from the JTC, House and Senate Transportation Committees, WSP and the Office of Financial Management. The Consultant should expect to manage the Staff Workgroup meetings and supply all meeting materials.

The Consultant will participate in a kick-off meeting with the Staff Workgroup as soon as practicable following contract signing. At that meeting the Consultant will work with the Staff Workgroup to develop a schedule for future meetings.

**Task 5: Presentations**

In addition to the Staff Workgroup meetings, the Consultant will attend and provide study updates as appropriate at the following meetings:

* Initial presentation of study workplan: June 21, 2022 JTC meeting in Vancouver, WA
* Presentation of final report: November 15, 2022 JTC meeting in Seattle, WA
* One presentation each to the House and Senate Transportation Committees during the 2023 legislative session, at the Committees’ discretion. These two presentations would likely occur on the same day in January or February 2023. The consultant would provide an overview of the study findings and recommendations. We are not sure at this time whether these meetings will be remote or in person.

Deliverable: Presentations supported by PowerPoint slide deck

**Task 6: reports**

The Consultant shall provide a draft final report for review by the JTC staff by October 10, 2022. Following JTC staff review the Consultant will present the draft report to the Staff Workgroup for review and comment during late October 2022. The draft final report will be presented to the JTC at its November 15, 2022 meeting. Any changes to the report made after that meeting will be incorporated and finalized in time for final completion of the report by December 1, 2022.

The Consultant shall work with the JTC Project Manager on review of the draft reports and incorporate any needed changes.

Deliverable: Final Report

1. **CONTRACT DELIVERABLES**

The successful Consultant will provide the following services and deliverables:

1. The Consultant shall work with JTC staff to develop a draft workplan to meet the requirements of section III of this RFP. The Consultant will present the draft workplan at the first staff workgroup meeting. Based on input from that meeting the Consultant will finalize the workplan with any changes necessary based on additional information. The work plan shall include:

• Specific steps detailing how this study will be carried out;

• The specific tasks to be performed and by whom;

• The expected duration and level of effort in hours by person;

• The specific data that will be needed, along with data sources; and,

• A schedule for performing the tasks.

The JTC will review, comment on, and approve this work plan. Any subsequent changes to the work plan shall also require approval by the JTC.

1. In conducting the study, the Consultant shall coordinate and facilitate the Staff Workgroup meetings. The Consultant shall provide all meeting materials. JTC staff will be responsible for securing meeting locations and providing food and refreshments for any in-person meetings.
2. The Consultant Project Manager shall have, at a minimum, twice-monthly scheduled conference calls with the JTC Project Manager. Full or partial consultant team conference calls may be scheduled as needed.
3. The Consultant shall prepare and present to the JTC Project Manager, monthly written progress reports that address and summarize the issues in the Consultant’s contracted Scope of Work and Work Plan.
4. The Consultant shall prepare draft deliverables as described in tasks 1-6. The Consultant shall present a draft to the JTC Project Manager and Staff Workgroup, for comments and suggestions. The Consultant shall work with the JTC Project Manager on review of the draft white papers, PowerPoints, and reports, incorporating any needed changes.
5. The Consultant shall present a draft and final reports meeting the schedule detailed in Task 6, above. The Consultant shall work with the JTC Project Manager on review of draft reports and incorporate any needed changes.
6. The Consultant shall present the draft Final Report to JTC Members at the November 15, 2022 JTC meeting. The draft Final Report is not final until the four JTC Members have voted to accept it.
7. The Consultant may be requested to make a presentation to the House and Senate Transportation Committees during the 2023 legislative session. The decision will be up to the chairs of the House and Senate Transportation Committees.
8. The Consultant shall provide electronic copies of all meeting materials and reports suitable for printing and web-based distribution (PDF).
9. **OVERSIGHT AND ADMINISTRATIVE RESPONSIBILITY**

The JTC will have prime oversight for this study. The clients of this study are the four JTC Members (the Chairs and Ranking Members of the House and Senate Transportation Committees), who are represented in day-to-day study activities by the JTC Project Manager. JTC staff will be responsible for the following activities:

* Prepare, publish and administer the RFP process.
* Coordinate the Consultant selection process and negotiate the contract.
* Administer the contract (review and approve invoices, ensure milestones are being met, etc.)
* Attend regularly scheduled briefings with Consultant.
* Receive, evaluate and edit Consultant products.
* Oversee communications and meetings between JTC, the Consultant, and the Staff Workgroup.

1. **MINIMUM QUALIFICATIONS**

* Bidder must be licensed to do business in the state of Washington.
* JTC shall also examine Bidder for responsibility. Responsibility shall include such measures as insurance coverage that meets state requirements, references, prior experience and satisfaction of existing clients, and other information related to responsibility.

1. **DEFINITIONS**

* **Apparently Successful Bidder** or **Apparently Successful Consultant -** the Bidder or Consultant selected as the most qualified entity to perform the stated services.
* **Bidder or Consultant –** an entity intending to submit or submitting a proposal for the project.
* **JTC –** Joint Transportation Committee, a Washington State legislative agency governed by an Executive Committee comprised by the Chairs and Ranking Members of the House and Senate Transportation Committees. JTC shall mean the Executive Committee, Committee Coordinator, Project Manager, and/or any other staff of the JTC.
* **JTC Members –** the four Members of the JTC Executive Committee, who are the Chairs and Ranking Member of the House and Senate Transportation Committees.
* **JTC Coordinator –** the Chief Executive Officer of the Joint Transportation Committee.
* **RFP --** This Request for Proposals, any addendum or erratum thereto, Bidder’s written questions and the respective answers, and any related correspondence that is addressed to all Bidders.
* **Contract definitions** are provided in the State of Washington General Terms and Conditions for personal services contracts in Exhibit A.

# VII. PROVISO

Below is the budget proviso language for this study from ESSB 5689 §204(9):

$250,000 of multimodal transportation account—state appropriation is for a comprehensive evaluation of the Washington state patrol's fleet of Cessna aircraft. The evaluation must include, but is not limited to, the following: (a) An assessment of the current use and performance, including outcomes measures, associated with the aircraft; (b) the timing of any needed replacement of the aircraft; (c) the feasibility, cost, and benefits associated with replacing the aircraft with ones powered by alternative fuel; and (d) a review of innovative technologies, including unmanned aerial aircraft, to achieve the desired outcomes. The final report must be submitted by December 1, 2022.

# VIII. HELPFUL RESOURCES

The following resources are provided for convenience and additional background for preparation of proposals. These resources are not exhaustive and should not be solely relied upon to form the basis of a proposal or any subsequent recommendations.

* [Washington State Patrol Budget Decision Package requesting funding for replacement aircraft.](http://leg.wa.gov/JTC/Documents/RFPs/WSPCessnaReplacement.pdf)
* [WSP Aviation Division overview presentation.](http://leg.wa.gov/JTC/Documents/RFPs/WSPPPTAviation.pdf)

**IX. PROJECT BUDGET**

The budget for this project shall not exceed a maximum of $245,000. Any resulting contract for this project will be subject to the standard state of Washington General Terms and Conditions for personal services contracts. A copy is attached to this RFP as Exhibit A.

**IX. INSTRUCTION TO BIDDERS**

**A. SUBMISSION OF PROPOSAL**

**NOTE:** All times listed below refer to local time in Olympia, Washington.

1. A Letter of Intent to Bid must be submitted either electronically or by paper copy by 5:00 p.m., local time in Olympia, on **April 29, 2022**, and must include company name, address and email address.
2. Bidders must submit proposals in PDF format as an email attachment and/or as a link where the PDF file can be downloaded.
3. Bidders must provide two samples of previous work similar to this type in PDF format as an email attachment and/or as a link where the PDF file(s) can be downloaded.
4. Proposals are due no later than **5:00 p.m. (local time in Olympia) May 11, 2022**. Late proposals will not be accepted and will be automatically disqualified. Proposals should be submitted to Sonia Plasencia, RFP Coordinator – [sonia.plasencia@leg.wa.gov](mailto:sonia.plasencia@leg.wa.gov).
5. Selected bidders will be required to participate in oral interviews on **May 25, 2022** via video conference. Selected bidders will be notified of the time of their scheduled interview and presentation.
6. All proposals and accompanying documentation become the property of the JTC and will not be returned.
7. JTC will not be liable for any costs associated with preparation and presentation of a proposal submitted in response to this RFP. The Bidder assumes responsibility for the travel and associated costs of Bidder personnel as they relate to bidding on this project.
8. In the event it becomes necessary to revise any part of this RFP, an addendum or erratum will be provided to all Bidders who submitted a Letter of Intent to bid.
9. After submission, Bidders will not be allowed to amend their proposal. Responses consisting solely of marketing material are not acceptable and will be rejected.
10. Questions regarding this RFP should be directed to Sonia Plasencia, RFP Coordinator, as the single point of contact for this solicitation, **by email only** to [sonia.plasencia@leg.wa.gov](mailto:sonia.plasencia@leg.wa.gov) . Inquiries concerning clarification of some aspect of the RFP will be accepted beginning at 9:00 a.m. **April 19, 2022***.* No inquiries concerning the RFP will be accepted after 5:00 p.m., on **May 3, 2022***.* Responses to questions will be posted at <http://leg.wa.gov/JTC/Pages/RFPs.aspx>within three business days. By **May 4, 2022***,* all responses to inquiries will be posted and transmitted to all Bidders who have submitted a Letter of Intent to Bid

**B. LETTER OF SUBMITTAL**

The Letter of Submittal and the attached Certification and Assurances form (Exhibit B) must each be signed and dated by a person authorized to legally bind the Bidder to a contractual relationship, e.g., the President or Executive Director if a corporation, the managing partner if a partnership, or the proprietor if a sole proprietorship. Along with introductory remarks, the Letter of Submittal is to include by attachment the following information about the Bidder and any proposed subcontractors:

1. Name, address, principal place of business, telephone number, and fax number/email address of legal entity or individual with whom contract would be written.

2. Name, address, and telephone number of each principal officer (President, Vice President, Treasurer, Chairperson of the Board of Directors, etc.).

3. Legal status of the Bidder (sole proprietorship, partnership, corporation, etc.) and the year the entity was organized to do business as the entity now substantially exists.

4. Federal Employer Tax Identification number or Social Security number and the Washington Uniform Business Identification (UBI) number issued by the state of Washington Department of Revenue.

5. Location of the facility from which the Bidder would operate.

6. The names of any Washington State employees or persons employed by Washington State within the last two years employed or on the firm's governing board as of the date of the proposal. Include their position and responsibilities within the Consultant's organization. If following a review of this information, it is determined by the JTC that a conflict of interest exists, the Bidder may be disqualified from further consideration for the award of a contract.

**C. PROPOSAL FORMAT**

The proposal must be submitted in PDF format and be printable on eight and one-half by eleven (8 1/2x11) inch paper. The five major sections of the proposal are to be submitted in the order noted below:

1. Letter of Submittal, including signed Certifications and Assurances (Exhibit B).

2. Technical Approach

* The Technical Approach should respond to Tasks 1-8 in Section lIl of this RFP, not to exceed ten pages. This page limitation does not include resumes, qualifications, work samples, the Letter of Submittal or signed Certifications and Assurances form.
* The Technical Approach should describe the approaches, methodologies, and techniques that will be used to undertake each of these tasks and produce the deliverables; and shall identify each person responsible for each task. It should describe in as much detail as possible the anticipated tasks, levels of effort, schedules, and coordination work required to undertake the Tasks.

3. Management Approach

* The Management Approach shall describe how your firm proposes to organize, manage, control, and report on the status of the study. Identify all critical milestones. It should include the following:
  + A statement of qualifications to conduct this work.
  + Identification of the individual(s) who will do the actual work being proposed, with attached professional resumes. Please keep resumes as concise as possible.
  + An organization chart indicating the lines of authority for all personnel involved in performance of the potential contract.
  + A description of staff responsibilities and the amount of time they will devote to the project by task or topic area.
* Bidders must include two samples of previous work similar to this type of project as electronic copies. (PDF)

4. Cost Approach

* The total cost of this work shall be documented in a detailed budget, including direct and indirect labor, travel, and any other expenses (itemized). The cost description shall identify projected work hours and hourly rate(s) for each employee, by name and task, who will be assigned to this project. At this time, the JTC anticipates that all meetings related to this study will be held via video conference until at least October of 2022.

5. References

* Include three references with whom your firm and the proposed Consultant Project Manager has done similar work. For each reference, include name, title, organization, address, phone number and email address. References shall not include staff of the Joint Transportation Committee, nor Washington State House or Senate Transportation Committee staff.

6. Diverse Business Inclusion Plan (Exhibit C)

**X. EVALUATION CRITERIA**

Proposals will be reviewed and evaluated by a panel selected by the JTC. After the initial evaluations, the JTC will select a small group of Bidders as finalists for oral interviews and presentations to the evaluation panel. Commitments made by the Bidder at the oral interview, if any, will be considered binding. The score from the oral presentation will be considered independently and will determine the apparently successful bidder.

Written proposals submitted in response to this RFP will be evaluated and weighted on the following three categories. This evaluation will be used solely for selecting Bidders to be invited for interviews.

* Technical Approach (50%)
* Proposed project approach and methodology
* Quality of work plan
* Feasibility of proposed schedule
* Description of proposed deliverables
* Management Approach (40%)
* Project team structure internal controls
* Staff qualifications & resumes
* Relevant experience with projects of similar complexity & type
* Other applicable experience
* Cost Approach (10%)
* Quality of detailed budget
* Reasonableness of cost approach
* Total project cost

**XI. ESTIMATED SCHEDULE OF ACTIVITIES**

Letters of Intent to Bid due April 29, 2022

Due date for proposals May 11, 2022

Oral Interviews May 25, 2022

Announce apparently successful Bidder May 27, 2022

Estimated contract start date June 13, 2022

Draft Interim Report due October 10, 2022

Present draft Final Report to JTC November 15, 2022

Potential presentations to House and Senate During session on committee request

Transportation Committees

Final Report due December 15, 2022

**XIII. JTC RIGHTS**

1. Determination of clarity and completeness in the responses to any of the provisions in this RFP will be made solely by the JTC. The JTC reserves the right to require clarification, additional information, and materials in any form relative to any or all of the provisions or conditions of this RFP.
2. The JTC reserves the right to reject any or all proposals at any time prior to the execution of a contract acceptable to the JTC, without any penalty to the JTC.
3. The JTC intends to award the contract to the Bidder with the best combination of attributes based on the evaluation criteria listed in Section X of this RFP. This RFP does not, however, obligate the JTC to contract for services herein.
4. Should the JTC fail to negotiate a contract with the Apparently Successful Bidder, the JTC reserves the right to negotiate and contract with the next most qualified Bidder.
5. The JTC reserves the right to waive specific terms and conditions contained in this RFP.
6. It shall be understood by Bidders that their proposal is predicated upon acceptance of all terms and conditions contained in this RFP unless the Bidder has obtained such a waiver, in writing, from the JTC prior to submission of the proposal. Any such waiver will be granted to all Bidders.
7. The JTC reserves the right, at its sole discretion, to waive minor administrative irregularities contained in any Bidder's proposal.

**Thank you for your interest.**



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### Exhibit A

**GENERAL TERMS AND CONDITIONS**

**1 DEFINITIONS** -- As used throughout this contract, the following terms shall have the meaning set forth below:

1. The "Joint Transportation Committee," or “JTC,” shall mean the Washington State Joint Transportation Committee, any division, section, office, unit, or other entity of JTC or any of the officers or other officials lawfully representing the JTC.
2. "Contractor" shall mean that firm, provider, organization, individual, or other entity performing services under this contract, and shall include all employees of the Contractor.

C. "Subcontractor" shall mean one not in the employment of the Contractor, who is performing all or part of those services under this contract under a separate contract with the Contractor. The terms "Subcontractor" and "Subcontractors" means Subcontractor(s) in any tier.

**2. ADVANCE PAYMENTS PROHIBITED** -- No payment in advance or in anticipation of services or supplies to be provided under this contract shall be made by JTC.

**3. ATTORNEY’S FEES**.

in the event of litigation or other action brought to enforce Contract terms, each party agrees to bear its own attorneys’ fees and costs.

**4. CHANGE OF CONTROL OR PERSONNEL** -- Contractor shall promptly and in any case within twenty-four (24) hours notify the JTC Project Manager in writing:

1. If any of the representations and warranties of the Contractor set forth in this contract shall cease to be true at any time during the term of this contract;
2. Of any material change in the Contractor’s management staff;
3. Of any change in control of the Contractor or in the business structure of the Contractor; or
4. Of any other material change in the Contractor’s business, partnership, or corporate organization relating to this engagement. All written notices regarding changes in management staff shall contain the same information about newly assigned management staff as was requested by JTC in the RFP and such additional information as may be requested by the JTC. For purposes hereof, the term “management staff” shall mean those persons identified as senior management in any response to a RFP or who otherwise will exercise a major administrative role or major policy or consultant role to the provision of the Contractor’s services hereunder. All written notices regarding changes in control of the Contractor shall contain the same information about any new controlling entity as was requested by JTC in the RFP regarding the Contractor and such additional information as may be requested by JTC.

Approval of these changes rests solely with JTC and will not be unreasonably withheld.

**5.** **CHANGES AND MODIFICATIONS** -- The JTC may, at any time, by written notification to the Contractor, make changes in the general scope of the services to be performed under the contract. If any such changes cause an increase or decrease in the cost of, or the time required for the performance of this contract, an equitable adjustment may be made in the contract price or period of performance, or both, and the contract shall be modified in writing accordingly. Any claim by the Contractor for adjustment under this clause must be asserted within thirty (30) days from the date of Contractor's receipt of the notice of such change; *provided*, however, that the JTC may, upon determining that the facts justify such action, receive and act upon such claim asserted at any time prior to final payment under this contract. Failure to agree to any adjustment shall be a dispute concerning a question of fact within the meaning of the clause of this contract entitled "Disputes." However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.

**6**. **CONFLICT OF INTEREST** -- JTC may, by written notice to the Contractor, terminate this contract if it is found after due notice and examination by the JTC that there is a violation of the State Ethics Law Chapter 42.52 RCW; or any similar statute involving the Contractor in the procurement of, or performance under, this contract.

Specific restrictions apply to contracting with current or former state employees pursuant to chapter 42.52 of the Revised Code of Washington. The CONTRACTOR and their subcontractor(s) must identify any person employed in any capacity by the state of Washington that worked with the JTC program executing this Contract, including but not limited to formulating or drafting the legislation, participating in grant procurement planning and execution, awarding grants, and monitoring grants, during the 24-month period preceding the start date of this Contract. Identify the individual by name, the agency previously or currently employed by, job title or position held, and separation date. If it is determined by JTC that a conflict of interest exists, the CONTRACTOR may be disqualified from further consideration for the award of a Contract.

In the event this contract is terminated as provided above, JTC shall be entitled to pursue the same remedies against the Contractor as it could pursue in the event of a breach of the contract by the Contractor. The rights and remedies of JTC provided for in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law. The existence of facts upon which the JTC makes any determination under this clause shall be an issue and may be reviewed as provided in the "Disputes" clause of this contract.

**7. CONTRACTOR NOT EMPLOYEE OF THE AGENCY** -- The Contractor and his or her employees or agents performing under this contract are not employees or agents of JTC. The Contractor will not hold himself/herself out as or claim to be an officer or employee of JTC or of the state of Washington by reason hereof, nor will the Contractor make any claim or right, privilege or benefit which would accrue to an employee under Chapter 41.06 RCW.

**8. DISPUTES** – If a dispute should arise between the Contractor and JTC regarding the performance or expected outcomes of the contract, such dispute shall be referred to the JTC Committee Coordinator for review and decision. If the decision by the JTC Committee Coordinator is not satisfactory to the Contractor, the Contractor may request in writing that the dispute be reviewed by the Legislative Members of the JTC Executive Committee. Such written request shall be provided to the JTC Committee Coordinator within five (5) days following the Committee Coordinator’s decision. The Committee Coordinator shall present the written request to the Legislative Members of the JTC Executive Committee at its earliest convenience for review and decision. The decision of the Legislative Members shall become final and binding.

Unless mutually agreed to by the JTC and the Contractor, the work to be performed under this agreement shall not be delayed or stopped during the review of a dispute either by the JTC Committee Coordinator or the JTC Executive Committee.

**9. GOVERNING LAW** -- This contract shall be governed by the laws of the state of Washington. In the event of a lawsuit involving this contract, venue shall be proper only in Thurston County. The Contractor, by execution of this contract, acknowledges the jurisdiction of the courts of the state of Washington in this matter.

**10.** **INDEMNIFICATION** -- The contractor shall defend, protect, and hold harmless the state of Washington, JTC, or any employees thereof, from and against all claims, suits or actions arising from the Contractor's acts which are libelous or slanderous, which result in injury to persons or property, which violate a right of confidentiality, or which constitute an infringement of any copyright, patent, trademark, or trade name through use of reproduction of material of any kind.

**11. INDUSTRIAL INSURANCE COVERAGE** -- The Contractor shall provide or purchase industrial insurance coverage prior to performing work under this contract as required under Title 51 RCW. JTC will not be responsible for payment of industrial insurance premiums or for any other claim or benefit for this Contractor, or any Subcontractor or employee of the Contractor, which might arise under the industrial insurance laws during performance of duties and services under this contract.

**12.** **LICENSING, ACCREDITATION AND REGISTRATION** -- The Contractor shall comply with all applicable local, state and federal licensing, accreditation, and registration requirements and standards, necessary for the performance of this contract.

**13.** **LIMITATION OF AUTHORITY** -- Only the JTC shall have the express, implied, or apparent authority to alter, amend, modify or waive any clause or condition of this contract. Furthermore, any alteration, amendment, modification, or waiver of any clause or condition of this contract is not effective or binding unless made in writing and signed by the JTC.

**14. NONASSIGNABILIT**Y -- Neither this contract, nor any claim arising under this contract, shall be transferred or assigned by the Contractor.

**15. NONDISCRIMINATION -**- During the performance of this contract, the Contractor shall comply with all federal and state nondiscrimination laws, regulations, and policies.

**16. NONCOMPLIANCE WITH NONDISCRIMINATION LAWS** -- In the event of the Contractor's noncompliance or refusal to comply with any nondiscrimination law, regulation, or policy, this contract may be rescinded, canceled, or terminated in whole or in part, and the Contractor may be declared ineligible for further contracts with JTC. The Contractor shall, however, be given a reasonable time in which to cure this noncompliance. Any dispute may be resolved in accordance with the "Disputes" procedure set forth herein.

**17. PAYMENT OF TAXES** -- Contractor shall pay all applicable taxes assessed on the compensation received under this contract and shall identify and pay those taxes under Contractor’s federal and state identification number(s).

**18. PUBLICITY** The Contractor agrees not to publish or use any advertising or publicity materials in which the state of Washington or JTC’s name is mentioned, or language used from which the connection with the state of Washington’s or JTC’s name may reasonably be inferred or implied, without the prior written consent of JTC.

**19. RECORDS, DOCUMENTS AND REPORTS** -- The Contractor shall maintain books, records, documents, data and other evidence of accounting procedures and practices which sufficiently and properly reflect all direct and indirect costs of any nature expended in the performance of this contract. These records shall be subject at all reasonable times to inspection, review, or audit by personnel duly authorized by the JTC, State Auditor or state or federal officials so authorized by law, regulation, or contract. The Contractor will retain all books, records, documents, and other materials relevant to this contract for six (6) years after the date of final payment, and make them available for inspection by persons authorized under this provision.

If any litigation, claim or audit is started before the expiration of the six (6) year period, the records shall be retained until all litigation claims, or audit findings involving the records have been resolved.

**20. REGISTRATION WITH DEPARTMENT OF REVENUE** -- The Contractor shall complete registration with the Department of Revenue, <http://dor.wa.gov/Content/Home/Default.aspx>, and be responsible for payment of all taxes due on payments made under this contract.

**21. RIGHT OF INSPECTION** -- The Contractor shall provide right of access to its facilities to the JTC, or any of its officers, or to any other authorized agent or official of the state of Washington or the federal government at all reasonable times, in order to monitor and evaluate performance, compliance, and/or quality assurance under this contract.

**22. RIGHTS IN DATA** -- Unless otherwise provided, data which originates from this contract shall be "works for hire" as defined by the U.S. Copyright Act and shall be owned by JTC. Data shall include, but not be limited to: working papers, reports, documents, pamphlets, advertisements, books, magazines, surveys, studies, computer programs, films, tapes, and/or sound reproductions. Ownership includes the right to copyright, patent, register, and the ability to transfer these rights.

Data which is delivered under the contract, but which does not originate therefrom shall be transferred to JTC with a nonexclusive, royalty-free, irrevocable license to publish, translate, reproduce, deliver, perform, dispose of, and to authorize others to do so; *provided*, that such license shall be limited to the extent which the Contractor has a right to grant such a license. The Contractor shall exert all reasonable effort to advise JTC, at the time of delivery of data furnished under this contract, of all known or potential invasions of privacy contained therein and of any portion of such document which was not produced in the performance of this contract. JTC shall receive prompt written notice of each notice of claim of copyright infringement received by the Contractor with respect to any data delivered under this contract. JTC shall have the right to modify or remove any restrictive markings placed upon the data by the Contractor.

**23. SAFEGUARDING OF INFORMATION** -- The use or disclosure by any party of any information concerning JTC for any purpose not directly connected with the administration of JTC or the Contractor's responsibilities with respect to services provided under this contract is prohibited except by written consent of the JTC.

**24. SAVINGS** -- In the event funding from state, federal, or other sources is withdrawn, reduced, or limited in any way after the effective date of this contract and prior to normal completion, JTC may terminate the contract under the "Termination for Convenience" clause, without the five-day notice requirement, subject to renegotiation under those new funding limitations and conditions.

**25. SCOPE OF CONTRACT** -- This contract and the attachments incorporate all the contracts, covenants, and understandings between the parties concerning the subject matter, and all such covenants, agreements, and understandings have been merged into this contract. No prior contract or understandings, verbal or otherwise, of the parties or their agents shall be valid or enforceable unless embodied in this contract.

**26. SEVERABILITY** – The provisions of this contract are intended to be severable. If any term or provision is illegal or invalid for any reason whatsoever, such illegality or invalidity shall not affect the validity of the remainder of the contract.

**27. SUBCONTRACTING** -- Neither the Contractor nor any Subcontractor shall enter into subcontracts for any of the work contemplated under this contract without obtaining prior written approval of the JTC.

**28. TERMINATION FOR CAUSE** – In the event the JTC determines the Contractor has failed to comply with the conditions of this contract in a timely manner, the JTC has the right to suspend or terminate this contract. In such event the Contractor shall be liable for damages as authorized by law including, but not limited to, any cost difference between the original contract and the replacement or cover contract and all administrative costs directly related to the replacement contract, e.g., cost of the mailing, advertising, and staff time.

A termination shall be deemed a “Terminate for Convenience” if it is determined that the Contractor (i) was not in default, or (ii) failure to perform was outside of his or her control, fault or negligence.

**29. TERMINATION FOR CONVENIENCE** -- Except as otherwise provided in this contract, the JTC may, by five (5) days written notice, beginning on the second day after the mailing, terminate this contract in whole or in part when it is in the best interests of JTC. If this contract is so terminated, JTC shall be liable only for payment in accordance with the terms of this contract for services rendered prior to the effective date of termination.

**30. TERMINATION PROCEDURE** -- Upon termination of this contract, JTC, in addition to any other rights provided in this contract, may require the Contractor to deliver to JTC any property specifically produced or acquired for the performance of such part of this contract as has been terminated. The provisions of the "Treatment of Assets" clause shall apply in such property transfer.

JTC shall pay to the Contractor the agreed upon price, if separately stated, for completed work and services accepted by JTC, and the amount agreed upon by the Contractor and JTC for (i) completed work and services for which no separate price is stated, (ii) partially completed work and services, (iii) other property or services which are accepted by JTC, and (iv) the protection and preservation of property, unless the termination is for default, in which case the JTC shall determine the extent of the liability of JTC. Failure to agree with such determination shall be a dispute within the meaning of the "Disputes" clause of this contract. JTC may withhold from any amounts due the Contractor such sum as the JTC determines to be necessary to protect JTC against potential loss or liability.

The rights and remedies of JTC provided in this section shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

After receipt of a notice of termination, and except as otherwise directed by the JTC, the Contractor shall:

* 1. Stop work under the contract on the date, and to the extent specified, in the notice;
  2. Place no further orders or subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the work under the contract as is not terminated;
  3. Assign to JTC, in the manner, at the times, and to the extent directed by the JTC, all of the rights, title, and interest of the Contractor under the orders and subcontracts so terminated, in which case JTC has the right, at its discretion, to settle or pay any or all claims arising out of the termination of such orders and subcontracts;
  4. Settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, with the approval or ratification of the JTC to the extent the JTC may require, which approval or ratification shall be final for all the purposes of this clause;
  5. Transfer title to JTC and deliver in the manner, at the times, and to the extent directed by the JTC any property which, if the contract has been completed, would have been required to be furnished to JTC;
  6. Complete performance of such part of the work as shall not have been terminated by the JTC; and
  7. Take such action as may be necessary, or as the JTC may direct, for the protection and preservation of the property related to this contract which is in the possession of the Contractor and in which JTC has or may acquire an interest.

**31. WAIVER OF DEFAULT** -- Waiver of any default shall not be deemed to be a waiver of any subsequent default. Waiver of breach of any provision of the contract shall not be deemed to be a waiver of any other or subsequent breach and shall not be construed to be a modification of the terms of the contract unless stated to be such in writing, signed by the JTC and attached to the original contract.

**Exhibit B**

**CERTIFICATIONS AND ASSURANCES**

I/we make the following certifications and assurances as a required element of the proposal to which it is attached, understanding that the truthfulness of the facts affirmed here and the continuing compliance with these requirements are conditions precedent to the award or continuation of the related contract(s):

1. I/we declare that all answers and statements made in the proposal are true and correct.
2. The prices and/or cost data have been determined independently, without consultation, communication, or agreement with others for the purpose of restricting competition. However, I/we may freely join with other persons or organizations for the purpose of presenting a single proposal.

3. The attached proposal is a firm offer for a period of 60 days following receipt, and it may be accepted by the JTC without further negotiation (except where obviously required by lack of certainty in key terms) at any time within the 60-day period.

4. In preparing this proposal, I/we have not been assisted by any current or former employee of the state of Washington whose duties relate (or did relate) to this proposal or prospective contract, and who was assisting in other than his or her official, public capacity. (Any exceptions to these assurances are described in full detail on a separate page and attached to this document.)

5. I/we understand that the JTC will not reimburse me/us for any costs incurred in the preparation of this proposal. All proposals become the property of the JTC, and I/we claim no proprietary right to the ideas, writings, items, or samples, unless so stated in this proposal.

6. Unless otherwise required by law, the prices and/or cost data which have been submitted have not been knowingly disclosed by the Bidder and will not knowingly be disclosed by him/her prior to opening, directly or indirectly to any other Bidder or to any competitor.

7. I/we agree that submission of the attached proposal constitutes acceptance of the solicitation contents and the attached sample contract and general terms and conditions. If there are any exceptions to these terms, I/we have described those exceptions in detail on a page attached to this document.

8. No attempt has been made or will be made by the Bidder to induce any other person or firm to submit or not to submit a proposal for the purpose of restricting competition.

9. The bidder certifies that no condition exists with respect to the bidder, or any of its employees, regarding any current or past relationship with the JTC, WSDOT, or agencies that violate Chapter 42.52 RCW, the "Ethics in Public Service" Law.

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Signature of Bidder

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title Date

## Exhibit C

**DIVERSE BUSINESS INCLUSION PLAN**

Do you anticipate using, or is your firm, a State Certified Minority Business? Y/N

Do you anticipate using, or is your firm, a State Certified Women’s Business? Y/N

Do you anticipate using, or is your firm, a State Certified Veteran Business? Y/N

Do you anticipate using, or is your firm, a Washington State Small Business? Y/N

If you answered No to all of the questions above, please explain:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Please list the approximate percentage of work to be accomplished by each group:

Minority \_\_%

Women \_\_%

Veteran \_\_%

Small Business \_\_%

Please identify the person in your organization who will manage your Diverse Inclusion Plan responsibility:

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Phone: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-Mail: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_